

01/05/01  
J0966 U.S. PTO

Please type a plus sign (+) inside this box →

0

01-08-01

A  
PTO/SB/05 (08-00)  
OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53 (b))		<b>Attorney Docket No.</b> LEX-0114-USA	
		<b>First Inventor</b> D. Wade Walke et al.	
		<b>Title</b> Novel Human Proteases and Polynucleotides	
		<b>Express Mail label No.</b> EL 672 758 847 US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> <b>CD-ROM or CD-R</b> in duplicate, large table or Compute Program (Appendix)	
2. <input checked="" type="checkbox"/> <b>Applicant claims small entity status.</b> See 37 CFR 1.27.		8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages 28] (preferred arrangement set forth below)		a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b>	
- Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the drawings (if filed) - Detailed Description - Claim(s) - Abstract of the disclosure		b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper	
4. <input type="checkbox"/> <b>Drawing(s)</b> (35 U.S.C. 113) [Total Sheets 2]		c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b>	
5. <b>Oath or Declaration</b> [Total Pages 2]		<b>ACCOMPANYING APPLICATION PARTS</b>	
a. <input checked="" type="checkbox"/> <b>unexecuted (original or copy)</b>		9. <input type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b>	
b. <input type="checkbox"/> <b>Copy from a prior application (37 CFR 1.63(d))</b> (for continuation/divisional with Box 17 completed)		10. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement (when there is an assignee)</b> <input checked="" type="checkbox"/> <b>Power of Attorney</b>	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		11. <input type="checkbox"/> <b>English Translation Document (if applicable)</b>	
6. <input type="checkbox"/> <b>Application Data Sheet</b> See 37 CFR 1.76		12. <input type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b> <input type="checkbox"/> <b>Copies of IDS Citations</b>	
		13. <input type="checkbox"/> <b>Preliminary Amendment</b>	
		14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</b>	
		15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s) (if foreign priority is claimed)</b>	
		16. <input type="checkbox"/> <b>Other:</b>	

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
 Prior application information: Examiner Group/Art Unit: \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL** APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

<b>18. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer Number into label here) <input type="checkbox"/> Correspondence address below					
Name <b>24231</b>					
Address <b>PATENT TRADEMARK OFFICE</b>					
City		State		Zip	
Country		Telephone (281) 863-3333		Fax (281) 364-0155	
Name (Print/Type) Lance K. Ichimoto		Registration No. (Attorney/Agent) 41866			
Signature <i>[Signature]</i>		Date January 5, 2001			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53 (b))

Attorney Docket No.		LEX-0114-USA
First Inventor		D. Wade Walke et al.
Title	Novel Human Proteases and Polynucleotides Encoding the Same	
Express Mail label No.	EL 672 756 847 US	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages (estimated arrangement set forth below) 28 )
- Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) (Total Sheets 2 )
5. Oath or Declaration (Total 2 )
- a. ☒ unexecuted (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.78

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☒ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.78:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) or prior application No.: \_\_\_\_\_
- Prior application Information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_
- For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

## 18. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label (Insert Customer label here) ☐ Correspondence address below

Name		24231	
PATENT TRADEMARK OFFICE			
Address		Zip	
City	State	Zip	
Country	Telephone (281) 863-3333	Fax (281) 364-0155	
Name (Print/Type)	Lance K. Jachimoto	Registration No. (Attorney/Agent)	41866
Signature		Date	January 5, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.